

JUL 13 2007

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Benjamin E. Reubinoff et al.

Docket No.

14418

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|----------------|---------------|--------------|----------------|------------------|
| 09/808,382 | March 14, 2001 | Thaian N. Ton | 23389 | 1632 | 1139 |

Invention: EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|------------|-------------------|
| TOTAL CLAIMS | 21 - | 102 = | 0 | x \$25.00 | \$0.00 |
| INDEP. CLAIMS | 5 - | 11 = | 0 | x \$100.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$0.00 |

- ☒ No additional fee is required for amendment.
☐ Please charge Deposit Account No. _____ in the amount of _____
☐ A check in the amount of _____ to cover the filing fee is enclosed.
☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-1013/SSMP
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
☒ Any patent application processing fees under 37 CFR 1.17.
☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.


Signature

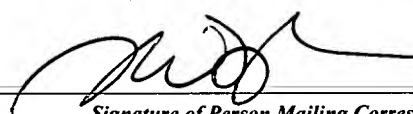
Xiaochun Zhu
 Registration No. 56,311
 Scully, Scott, Murphy & Presser, P.C.
 400 Garden City Plaza-STE 300
 Garden City, New York 11530
 (516) 742-4343

Dated: July 11, 2007

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

July 11, 2007

(Date)



Signature of Person Mailing Correspondence

Xiaochun Zhu

Typed or Printed Name of Person Mailing Correspondence

cc: XZ:ab



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Benjamin E. Reubinoff et al. **Examiner:** Thaian N. Ton
Serial No.: 09/808,382 **Art Unit:** 1632
Filed: March 14, 2001 **Docket:** 14418
For: EMBRYONIC STEM CELLS
AND NEURAL PROGENITOR
CELLS DERIVED THEREFROM **Dated:** July 11, 2007

Confirmation No.: 1139

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. § 1.114

Sir:

In response to the Final Action dated December 29, 2006 and in accordance with the provisions of 37 C.F.R. § 1.114, Applicants respectfully submit the following amendment for entry in the above-identified case.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 11, 2007


Xiaochun Zhu